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## TRANSMITTAL FORM

DEC 28 2001

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

7

Application Number

09/905,212

Filing Date

July 13, 2001

First Named Inventor

Venkatraman RAMAKRISHNAN

Group Art Unit

1645

Examiner Name

To Be Assigned

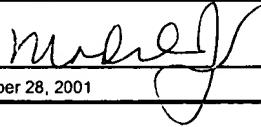
Attorney Do3cket No.

256602000600

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form, in duplicate - 2 pages	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request - 1 page	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
	<input type="checkbox"/> Terminal Disclaimer	1. Declaration for Utility Patent Application (2 pages)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	2. Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 - 1 page		

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

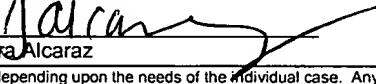
Firm or Individual Name	Madeline I. Johnston, Reg. No. 36,174 for Catherine M. Polizzi, Reg. No. 40,130 Morrison & Foerster, LLP 755 Page Mill Road Palo Alto, CA 94304
Signature	
Date	December 28, 2001

### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL334457950US

Date of Deposit: December 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Tamara Alcaraz

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# FEE TRANSMITTAL

## FOR FEE PAYMENT FOR FISCAL YEAR 2001

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SUBJECT TO ANNUAL REVIEW

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PATENT & TRADEMARK OFFICE  
SUBJECT TO ANNUAL REVIEW

## Complete if Known

Application Number	09/905,212
Filing Date	July 13, 2001
First Named Inventor	Venkatraman RAMAKRISHNAN
Examiner Name	To Be Assigned
Group Art Unit	1645

## TOTAL AMOUNT OF PAYMENT

(\$530.00)

Attorney Docket No. 256602000600

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952

Deposit Account Name Morrison & Foerster LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$0)

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
22	18	-22** = 0	x	= \$0

## Multiple Dependent

			= \$0
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Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	

102	84	202	42	Independent claims in excess of 3	
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104	280	204	140	Multiple dependent claims, if not paid	
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109	84	209	42	**Reissue independent claims over original patent	
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110	18	210	9	**Reissue claims in excess of 20 and over original patent	
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SUBTOTAL (2) (\$0)

\*\* or number previously paid, if greater; For reissues, see above.

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath		\$130.00	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
139	130	139	130	Non-English specification			
147	2,520	147	2,520	For filing a request for ex parte reexamination			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for reply within first month			
116	400	216	200	Extension for reply within second month		\$400.00	
117	920	217	460	Extension for reply within third month			
118	1,440	218	720	Extension for reply within fourth month			
128	1,960	228	980	Extension for reply within fifth month			
119	320	219	160	Notice of Appeal			
120	320	220	160	Filing a brief in support of an appeal			
121	280	221	140	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive - unavoidable			
141	1,280	241	640	Petition to revive - unintentional			
142	1,280	242	640	Utility issue fee (or reissue)			
143	460	243	230	Design issue fee			
144	620	244	310	Plant issue fee			
122	130	122	130	Petitions of the Commissioner			
123	50	123	50	Petitions related to provisional applications			
126	180	126	180	Submission of Information Disclosure Stmt			
581	40	581	40	Recording each patent assignment per properties (times number of properties)			
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
179	740	279	370	Request for Continued Examination (RCE)			
169.	900	169	900	Request for expedited examination of a design application			

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$530.00)

## SUBMITTED BY

Name (Print/Type)	Madeline I. Johnston (Reg. No. 36,174) for Catherine M. Polizzi	Registration No. (Attorney/Agent)	40,130	Complete (if applicable)
Signature	<i>Madeline I. Johnston</i>			Date December 28, 2001

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pa-654490



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/905,212	07/13/2001	Venkatraman Ramakrishnan	256602000600

25226  
 MORRISON & FOERSTER LLP  
 755 PAGE MILL RD  
 PALO ALTO, CA 94304-1018



**CONFIRMATION NO. 3863**  
**FORMALITIES LETTER**



\*OC00000006488777\*

Date Mailed: 08/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/14/2002 TBESHAI1 00000179 09905212

01 FC:116 400.00 CH